

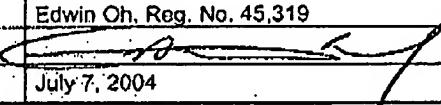
PTO/SB/21 (08-03)

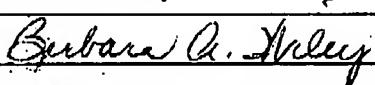
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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>		Application Number	10/785,362
		Filing Date	Feb 23, 2004
		First Named Inventor	Liu, Zhendong
		Art Unit	3723
		Examiner Name	
Total Number of Pages in This Submission	4	Attorney Docket Number	03023US

<b>ENCLOSURES (check all that apply)</b>			
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation <input type="checkbox"/> Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD. Number of CD(s) _____	<input type="checkbox"/> After Allowance communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):	
		Remarks	

<b>SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT</b>	
Firm or Individual name	Edwin Oh, Reg. No. 45,319
Signature	
Date	July 7, 2004

<b>CERTIFICATE OF TRANSMISSION/MAILING</b>			
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Type or printed name	Barbara A. Wiley		
Signature		Date	July 7, 2004

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Applicant(s): Liu et al.

Serial No.: 10/785,362

Filed: 2/23/2004

Title: **MULTI-STEP POLISHING  
SOLUTION FOR CHEMICAL  
MECHANICAL PLANARIZATION**

Attorney Docket No.: 03023US

Group Art Unit:

3723

Examiner:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**

Dear Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or  
 (Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:  
 \_\_\_\_\_ Statement under 37 CFR 1.97(e), or  
 \_\_\_\_\_ a \$180.00 fee under 37 CFR 1.17(p), or  
 (After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with a:  
 \_\_\_\_\_ Statement under 37 CFR 1.97(e), and  
 \_\_\_\_\_ a \$180.00 fee set forth in 37 CFR 1.17(p).  
 (Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

Applicant(s) submit herewith Form PTO 1449-Information Disclosure Citation listing patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

The relevance of the listed references is that this is the closest art of which Applicant is aware. Applicant submits that the above references taken alone or in combination neither anticipate nor render obvious the present invention. Consideration of the foregoing in relation to this application is respectfully requested.

It is requested that the information disclosed herein be made of record in this application.

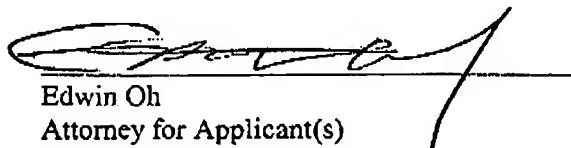
Respectfully submitted,

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Date of Deposit: 7/7/04

Typed Name: Barbara A. Wiley

Signature: Barbara A. Wiley



Edwin Oh  
Attorney for Applicant(s)  
Reg. No. 45,319

Date: July 7, 2004

Telephone No.: 302-283-2137

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Substitute for form 1449A/PTO

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Attorney Docket Number 03023US

**U.S. PATENT DOCUMENTS**

## FOREIGN PATENT DOCUMENTS

**\*EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. \*Applicant's unique citation designation number (optional). \*See Kinda Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 801.04. \*Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). \*For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. \*Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. \*Applicant is to place a check mark here if English language Translation is attached.

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